



## INFORMATION DISCLOSURE STATEMENT

Applicant : Tsutsumi et al.

App. No : 10/506,398

Filed : May 11, 2005

For : SYNTHETIC RESIN CAP, CLOSING DEVICE, AND CONTAINER-FILLED BEVERAGE

Examiner : Ngo, Lien M.

Art Unit : 3727

## CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 16, 2006

(Date)

Eric M. Nelson, Reg. No. 43,829

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 3 references to be considered by the Examiner. Also enclosed are 1 foreign patent reference and 1 non-patent literature as listed on the Information Disclosure Statement. Please be informed that we already filed JP 2001-114310 A, on December 6, 2004 that is cited in the Chinese Office Action.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and no fee is required in accordance with 37 C.F.R. §§ 1.97(b)(3). Further, even if a first Office Action on the merits was mailed before the mailing date of this Statement, no fee is required as set forth below in 37 C.F.R. §§ 1.97(c) and 1.97(e)(1).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

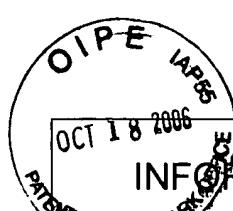
Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

By:

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Dated: Oct. 16, 2006



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(Multiple sheets used when necessary)*

SHEET 1 OF 1

|                      |                  |
|----------------------|------------------|
| Application No.      | 10/506,398       |
| Filing Date          | May 11, 2005     |
| First Named Inventor | Hiroaki Tsutsumi |
| Art Unit             | 3727             |
| Examiner             | Ngo, Lien M.     |
| Attorney Docket No.  | SHIGA5.001APC    |

**U.S. PATENT DOCUMENTS**

| Examiner Initials | Cite No. | Document Number<br><i>Number - Kind Code (if known)</i><br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
|-------------------|----------|--|--------------------------------|-------------------------------|--|
|                   | 1        | US2001/0030164 A1  | 10-18-2001                     | Romeo Corvaglia               |  |
|                   |          |  |                                |                               |  |
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**FOREIGN PATENT DOCUMENTS**

| Examiner Initials | Cite No. | Foreign Patent Document<br><i>Country Code-Number-Kind Code</i><br>Example: JP 1234567 A1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T <sup>1</sup> |
|-------------------|----------|---|--------------------------------|-------------------------------|--|----------------|
|                   | 1        | CN 1231641A   | 10-13-1999                     |                               |  |                |
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**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>1</sup> |
|-------------------|----------|---|----------------|
|                   | 1        | The Text of the First Office Action by Chinese Patent Office on July 21, 2006   | X              |
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|---|-----------------|
| Examiner Signature  | Date Considered |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |

<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.